



Office of Inspector General

Overview: The Inspector General Act of 1994 created an Office of Inspector General in each state agency “as a central point for coordination of and responsibility for activities that promote accountability, integrity, and efficiency in government.”

Under the guidance of the Inspector General (IG), this office performs audits, investigations and other engagements “for the purpose of promoting economy and efficiency in the administration of, or preventing and detecting fraud and abuse in, its programs and operations.”

Our Vision:

Excellence in our products and services



Our Mission:

Promote accountability, integrity and efficiency for the citizens of Florida by providing objective, timely audit and investigative services

Our Values:

Integrity –

“We always do what is right”

Respect –

“We value diversity, talent and ideas”

Commitment –

“We do what we say we are going to do”

One FDOT –

“We are one agency, one team”

Trust –

“We are open and fair”

Customer Driven –

“We listen to our customers”

Inspector General

The IG is independent. Statutorily, the IG reports to the agency head; however, the agency head cannot prevent or prohibit the IG from “initiating, carrying out, or completing any audit or investigation.” Under the Inspector General’s Act, the IG’s responsibilities include the following major activities:

- Direct and coordinate audits, investigations and management reviews;
- Promote economy and efficiency; prevent and/or detect fraud and abuse;
- Recommend corrective action concerning fraud, abuses, weaknesses and deficiencies;
- Report expeditiously to the appropriate law enforcement agency whenever the IG has reasonable grounds to believe there has been a violation of criminal law; and
- Advise in the development of performance measures, standards and procedures for evaluating agency programs.



Another function of the IG is to ensure an appropriate balance between audit, investigative and other accountability activities. Accordingly, the office is composed of two major sections: Audit and Investigations.

Audit

The mission of the audit section is to promote integrity, accountability and process improvement by providing objective, timely and value-added audit services. One focus of the audit section is to examine and evaluate the adequacy and effectiveness of the department's internal control and risk management systems.

The audit section also reviews the control processes designed by management to assure the reliability and integrity of information, compliance with policies and regulations, safeguarding of assets, economical and efficient use of resources and achievement of established operational goals and objectives.

Investigations

The mission of the investigations section is to deter, detect and investigate crimes or misconduct impacting the department. The focus of

the investigations section is to pursue aggressively any attempt by department employees, members of the public, contractors, subcontractors, or intermediaries to gain financial or other benefit to which they are not properly entitled. The section also investigates allegations of employee misconduct. These administrative and criminal investigations typically involve reports of conflict of interest, theft and improper use of department resources.



Special Projects

This section provides office-wide support by performing quality reviews, production management, audit follow-up and tracking, annual work plan development, publication of the annual report and updating processes and procedures. The special projects section is also responsible for coordinating administrative and technical needs

Office of Inspector General Contact Information

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Standards

Audits and investigations are conducted in accordance with applicable:

- *Government Auditing Standards*, also referred to as Yellow Book, issued by the Comptroller General of the United States
- *International Standards for the Professional Practice of Internal Auditing*, also known as Red Book, published by the Institute of Internal Auditors
- *Principles and Standards for Offices of Inspectors General*, also referred to as Green Book, published by the Association of Inspectors General
- *Information Systems Auditing Standards*, published by the Information Systems Audit and Control Association

Fraud, Waste and Abuse Hotline 1-800-255-8099

Online Reporting Form
<http://www3.dot.state.fl.us/fraud>

Call when you think. . .

- someone is using FDOT property or people for personal gain.
- someone is intentionally misleading FDOT for financial gain.
- someone is receiving a benefit to “look the other way.”
- you’re not sure if you should call to report your suspicions.



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All photos courtesy of the Florida Department of Transportation



Florida Department of Transportation

Office of Inspector General



*Enhancing Public Trust
through
Professionalism and Respect*