

STATEWIDE CRITICAL REQUIREMENTS - FISCAL YEAR 2009/2010
Quality Assessment Category Number 16D
Subcontracts

1. Is the CEI Staff examining the Certification of Sublet Work (Form No. 700-010-36) for each contract to ensure that the prime contractor's Certification of Sublet Work (Form No. 700-010-36) is complete and accurate and that the prime contractor has not knowingly entered into any lower tier covered transactions with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in a covered transaction, unless authorized by the Department? Discussion with CEI staff, and a review of project's contract files, Certification of Sublet Work Form & any subcontract files verifies this. [Spec. 8-1 & CPAM 5.3.]

2. Has the CEI staff or Resident Compliance Officer examined at least one subcontract per contract to determine;
 - a. that each subcontract contains all required pertinent provisions of the prime contract;

 - b. that the subcontract contains the required certification clause, without modification titled "Certification regarding debarment, suspension, ineligibility and voluntary exclusion – lower tier coverage transaction"

Discussion with CEI staff, and a review of project's contract and subcontract files will verify this. [Required Contract Provisions Federal-Aid Construction Contracts, FHWA-1273 - XI -1., Spec 8-1, CPAM 5.3]